

Representing groups by endomorphisms of the random graph

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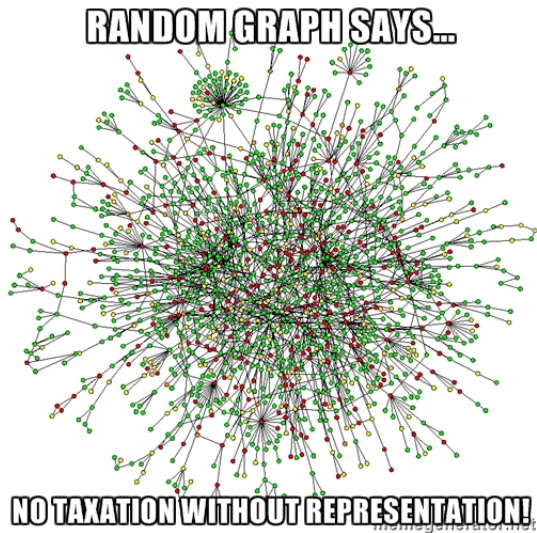


This talk is dedicated to...

...my very first encounter with alcohol – and **beer** in particular – almost exactly 30 years ago (on the evening of 30 April 1986, to be exact) in a certain pub/brewery in Prague.



Representation is an important issue





Ready for take-off: Homogeneous structures

Let \mathcal{A} be a (countable) first order structure. \mathcal{A} is said to be **(ultra)homogeneous** if any isomorphism

$$\iota : \mathcal{B} \rightarrow \mathcal{B}'$$

between its finitely generated substructures is a restriction of an automorphism α of \mathcal{A} : $\iota = \alpha|_{\mathcal{B}}$.

Remark

If we restrict to relational structures, 'finitely generated' becomes simply 'finite'.

Classification programme for countable ultrahomogeneous structures

- ▶ finite graphs (Gardiner, 1976)
- ▶ posets (Schmerl, 1979)
- ▶ undirected graphs (Lachlan & Woodrow, 1980)
- ▶ tournaments (Lachlan, 1984)
- ▶ directed graphs (Cherlin, 1998 – *Memoirs of AMS*, 160+ pp.)
- ▶ semilattices (Droste, Kuske, Truss, 1999)
- ▶ finite groups (Cherlin & Felgner, 2000)
- ▶ permutations (Cameron, 2002)
- ▶ multipartite graphs (Jenkinson, Truss, Seidel, 2012)
- ▶ coloured multipartite graphs (Lockett, Truss, 2014)
- ▶ lattices – ‘unclassifiable’ (Abogatma, Truss, 2015)
- ▶ ...

Fraïssé theory

Fact

For any countably infinite ultrahomogeneous structure \mathcal{A} , its **age** $\text{Age}(\mathcal{A})$ (the class of its finitely generated substructures) has the following properties:

- ▶ it has countably many isomorphism types;
- ▶ it is closed for taking (copies of) substructures;
- ▶ it has the joint embedding property (JEP);
- ▶ it has the amalgamation property (AP).

A class of finite(ly generated) structures with such properties is called a **Fraïssé class**.

Theorem (Fraïssé)

Let \mathbf{C} be a Fraïssé class. Then there exists a unique countably infinite ultrahomogeneous structure \mathcal{F} such that $\text{Age}(\mathcal{F}) = \mathbf{C}$.

Fraïssé theory (continued)

The structure \mathcal{F} from the previous theorem is called the **Fraïssé limit** of \mathbf{C} .

Classical examples:

- ▶ finite chains $\longrightarrow (\mathbb{Q}, <)$
- ▶ finite undirected graphs \longrightarrow **the random graph R**
- ▶ finite posets \longrightarrow the random poset
- ▶ finite tournaments \longrightarrow the random tournament
- ▶ finite metric spaces with rational distances \longrightarrow the rational Urysohn space $\mathbb{U}_{\mathbb{Q}}$
- ▶ finite permutations \longrightarrow the random permutation

Fraïssé limits over finite relational languages are **ω -categorical**, have **quantifier elimination**, **oligomorphic automorphism groups**, . . .

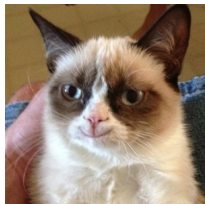
The random graph R

R = the unique countable existentially closed graph

*You can get anything you want
at Alice's Restaurant*

Arlo Guthrie: Alice's Restaurant Massacre (1967)

= for any disjoint finite sets of vertices A and B there is a vertex $v \notin A \cup B$ adjacent to all vertices from A and to none of B





Endomorphism monoids

Endomorphism of a first-order structure $\mathcal{A} = (A, R^{\mathcal{A}}, F^{\mathcal{A}}, C^{\mathcal{A}})$ is a transformation $f : A \rightarrow A$ preserving all relations from $R^{\mathcal{A}}$, all operations from $F^{\mathcal{A}}$, and all constants from $C^{\mathcal{A}}$.

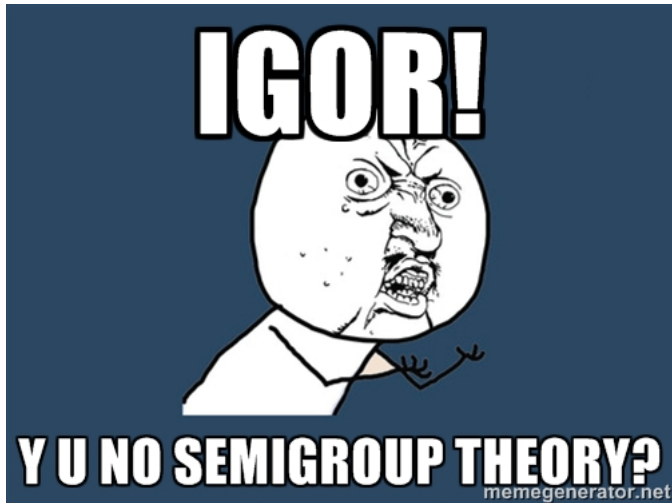
All endomorphisms of \mathcal{A} form a monoid (semigroup with 1) under composition of functions: $\text{End}(\mathcal{A})$.

There are two ways in which groups can appear within a semigroup S :

- ▶ **overt**: as maximal subgroups of S ;
- ▶ **covert**: as Schützenberger groups (of \mathcal{D} -classes of S)

Sometimes, there is a very fine line between overt and covert... 😊





Green's relations

The **most fundamental** tool in studying the structure of semigroups. (Named after **J. Alexander "Sandy" Green (1926–2014)**.)

$$a \mathcal{R} b \iff aS^1 = bS^1 \iff (\exists x, y \in S^1) ax = b \& by = a$$

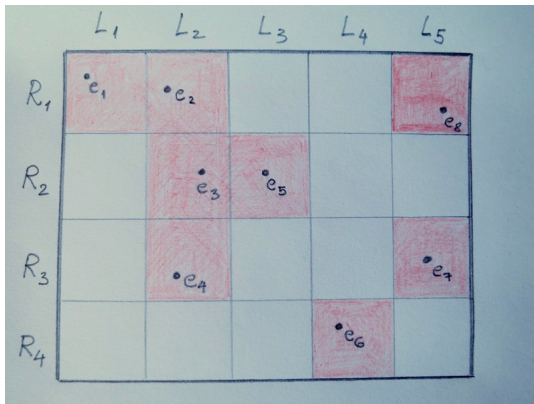
$$a \mathcal{L} b \iff S^1a = S^1b \iff (\exists u, v \in S^1) ua = b \& vb = a$$

$$\mathcal{D} = \mathcal{R} \circ \mathcal{L} = \mathcal{L} \circ \mathcal{R}$$

$$\mathcal{H} = \mathcal{R} \cap \mathcal{L}$$

$$a \mathcal{J} b \iff S^1aS^1 = S^1bS^1 \iff (\exists x, y, u, v \in S^1) uax = b \& vby = a$$

The eggbox picture of a \mathcal{D} -class



Groups (overt): \mathcal{H} -classes shaded red (these are all isomorphic)

maximal subgroups of a semigroup = \mathcal{H} -classes containing idempotents

Regularity

$a \in S$ is **regular** if

$$a = axa$$

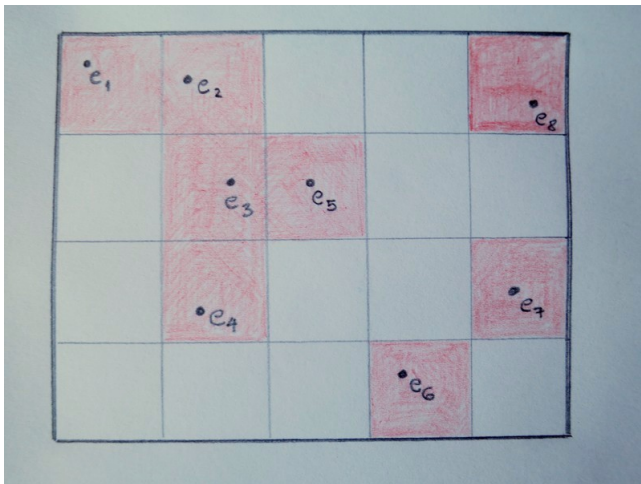
for some $x \in S$.

Fact

For any \mathcal{D} -class D , either all elements of D are regular or none of them.

Hence, a is regular $\iff a \mathcal{D} e$ for an idempotent e .

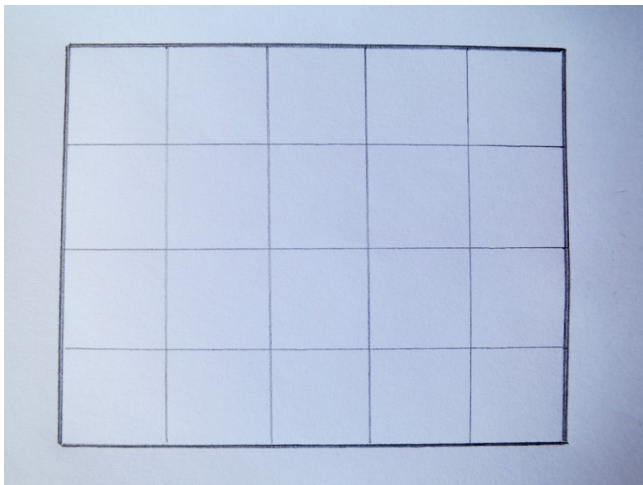
A regular \mathcal{D} -class



A regular eggbox



A non-regular \mathcal{D} -class



A non-regular eggbox



Schützenberger groups – groups the never were

There is a ‘hidden’ / covert group capturing the structure of a (non-regular) \mathcal{D} -class D , called the Schützenberger group of D .

Namely, let H be an \mathcal{H} -class within a \mathcal{D} -class D , and consider $T_H = \{t \in S^1 : Ht \subseteq H\}$.

Basic results of semigroup theory (Green’s Lemma) show that each $\rho_t : H \rightarrow H$ ($t \in T_H$) defined by

$$h\rho_t = ht$$

is a permutation of H .

Hence, $S_H = \{\rho_t : t \in T_H\}$ is a permutation group on H . This is the (right) Schützenberger group of H .

Schützenberger groups – groups the never were

Fact

If both H_1, H_2 belong to D , then $S_{H_1} \cong S_{H_2}$. Hence the Schützenberger group is really an invariant of a \mathcal{D} -class of a semigroup.

Fact

If H is a group (so that D is regular), then $S_H \cong H$.

A classical example: \mathcal{T}_X

Fact

In \mathcal{T}_X we have:

- (1) $f \mathcal{R} g \iff \ker(f) = \ker(g)$;
- (2) $f \mathcal{L} g \iff \text{im}(f) = \text{im}(g)$;
- (3) $f \mathcal{D} g \iff \text{rank}(f) = |\text{im}(f)| = |\text{im}(g)| = \text{rank}(g)$;
- (4) $\mathcal{J} = \mathcal{D}$;
- (5) if $e = e^2$ and $\text{rank}(e) = k$, then $H_e \cong \mathbb{S}_k$;
- (6) \mathcal{T}_X is regular.



End(\mathcal{A})

Let \mathcal{A} be a first-order structure. Since $\text{End}(\mathcal{A}) \leq \mathcal{T}_A$, if $f, g \in \text{End}(\mathcal{A})$ are \mathcal{R} -/ \mathcal{L} -related in $\text{End}(\mathcal{A})$ they are certainly \mathcal{R} -/ \mathcal{L} -related in \mathcal{T}_A . Hence,

$$(i) \quad f \mathcal{R} g \implies \ker(f) = \ker(g);$$

$$(ii) \quad f \mathcal{L} g \implies \text{im}(f) = \text{im}(g).$$

Remark

We must be careful with the notion of an ‘image’ of an endomorphism if our language contains relational symbols, because besides $\text{im}(f)$ we also have $\langle Af \rangle$, the induced substructure of A on Af .

Lemma

$$f \mathcal{D} g \implies \langle Af \rangle \cong \langle Ag \rangle.$$

Regular elements in $\text{End}(\mathcal{A})$

Proposition (Magill, Subbiah, 1974)

If $f \in \text{End}(\mathcal{A})$ is regular, then $\text{im}(f) = \langle Af \rangle$.

Lemma (Magill, Subbiah, 1974)

Let $f, g \in \text{End}(\mathcal{A})$ be regular. Then:

- (i) $f \mathcal{R} g \iff \ker(f) = \ker(g)$;
- (ii) $f \mathcal{L} g \iff \text{im}(f) = \text{im}(g)$;
- (iii) $f \mathcal{D} g \iff \text{im}(f) \cong \text{im}(g)$;
- (iv) if e is idempotent, then $H_e \cong \text{Aut}(\text{im}(e)) \cong \text{Aut}(\text{im}(f))$ for any $f \in D_e$.

Schützenberger groups in $\text{End}(\mathcal{A})$

Proposition

Let $f \in \text{End}(\mathcal{A})$ and $H = H_f$.

- (i) If $t \in T_H$, then $t|_{Af}$ is an automorphism of both $\langle Af \rangle$ and $\text{im}(f)$;
- (ii) the mapping $\phi : \rho_t \mapsto t|_{Af}$ is an embedding of S_H into $\text{Aut}(\langle Af \rangle) \cap \text{Aut}(\text{im}(f))$.

So, what the heck are the images of (idempotent) endomorphisms of Fraïssé limits?

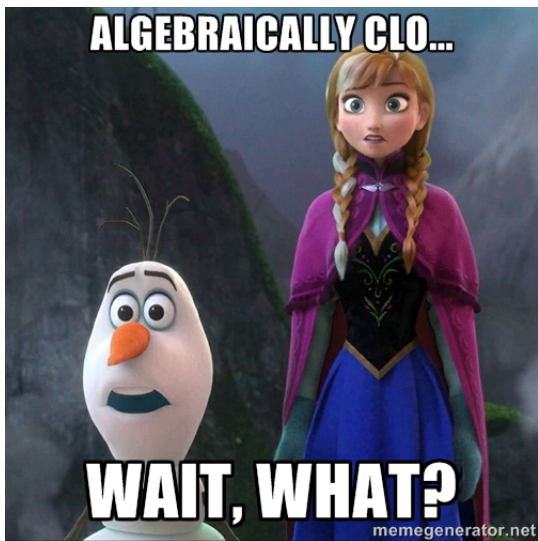
Call a Fraïssé class \mathbf{C} **neat** if it consists of finite structures, and for each $n \geq 1$ the number of isomorphism types of n -generated structures in \mathbf{C} is finite.

Examples:

- ▶ relational structures
- ▶ Fraïssé classes of algebras contained in locally finite varieties

Theorem (ID, 2012)

Let \mathbf{C} be a neat Fraïssé class enjoying the strict AP and the 1PHEP. Then there exists an (idempotent) endomorphism f of F , the Fraïssé limit of \mathbf{C} , such that $\mathcal{A} \cong \text{im}(f)$ if and only if \mathcal{A} is algebraically closed in $\overline{\mathbf{C}}$.



Algebraically closed stuff

An L -formula $\Phi(\mathbf{x})$ is **primitive** if it is of the form

$$(\exists \mathbf{y}) \bigwedge_{i < k} \Psi_i(\mathbf{x}, \mathbf{y})$$

where each Ψ_i is a **literal**: an atomic formula or its negation. No negation \rightarrow **primitive positive formula**.

Let \mathbf{K} be a class of L -structures. An L -structure \mathcal{A} is **existentially (algebraically) closed** (in \mathbf{K}) if for any primitive (positive) formula $\Phi(\mathbf{x})$ and any tuple \mathbf{a} from A we have already $\mathcal{A} \models \Phi(\mathbf{a})$ whenever there is an extension $\mathcal{A}' \in \mathbf{K}$ of \mathcal{A} such that $\mathcal{A}' \models \Phi(\mathbf{a})$.

Graphs

Countable e.c. graphs: R (Alice's Restaurant property)

Countable a.c. graphs: any finite set of vertices has a common neighbour (\Rightarrow infinitely many of them)

In the rest of this talk we will be concerned with simple graphs and $\text{End}(R)$. However, all these results can be adapted for:

- ▶ the random digraph,
- ▶ the random bipartite graph,
- ▶ the random (non-strict) poset,
- ▶ ...

Proposition

A countable graph (V, E) is a.c. if and only if there exists $E' \subseteq E$ such that $(V, E') \cong R$ (that is, it is e.c.). Consequently, for any a.c. graph Γ there is a bijective homomorphism $R \rightarrow \Gamma$.



Frucht's Theorem (1939)

Any finite group is $\cong \text{Aut}(\Gamma)$ for a finite graph Γ .

de Groot / Sabidussi (1959/60) \Rightarrow automorphism groups of countable graphs include all countable groups.

Name of the game: Strengthen this for countable a.c. graphs.

The team



Point Guard:
Martyn Quick



Shooting Guard:
Robert "Bob" Gray



Center:
Jillian "Jay" McPhee



Forward:
"Baby" James Mitchell



Power Forward:
Dr. D

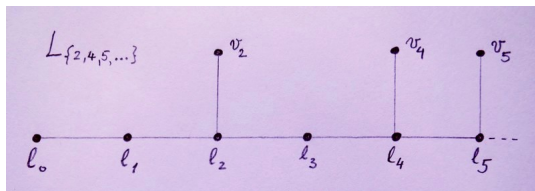
Automorphism groups of countable a.c. graphs

Theorem

Let Γ be a countable graph. Then there exist 2^{\aleph_0} pairwise non-isomorphic countable a.c. graphs whose automorphism group is $\cong \text{Aut}(\Gamma)$.

Proof. For a (simple) graph Δ , let Δ^\dagger denote its complement.

- ▶ $\text{Aut}(\Delta^\dagger) = \text{Aut}(\Delta)$.
- ▶ Δ any graph, Λ infinite **locally finite** graph $\Rightarrow (\Delta \uplus \Lambda)^\dagger$ is a.c.
- ▶ **The central idea** – consider l.f. graphs L_S for $S \subseteq \mathbb{N} \setminus \{0, 1\}$:



Automorphism groups of countable a.c. graphs

Proof (cont'd).

- ▶ Properties of L_S ($S, T \subseteq \mathbb{N} \setminus \{0, 1\}$):
 - ▶ Each L_S is rigid ($\text{Aut}(L_S) = \mathbf{1}$).
 - ▶ $L_S \cong L_T \iff S = T$.
- ▶ If L_S is isomorphic to no connected component of Γ (and this excludes only countably many choices of S), then

$$\text{Aut}(\Gamma \uplus L_S)^\dagger = \text{Aut}(\Gamma \uplus L_S) \cong \text{Aut}(\Gamma) \times \text{Aut}(L_S) \cong \text{Aut}(\Gamma).$$

- ▶ $S_1 \neq S_2$ yield non-isomorphic a.c. graphs.

Images of idempotent endomorphisms

Theorem (Bonato, Delić, 2000; ID, 2012)

Let Γ be a countable graph. There exists an idempotent $f \in \text{End}(R)$ such that $\text{im}(f) \cong \Gamma$ if and only if Γ is a.c.

Theorem

If Γ is a countable a.c. graph, then there exists an (induced) subgraph $\Gamma' \cong \Gamma$ of R such that there are 2^{\aleph_0} idempotent endomorphisms f of R such that $\text{im}(f) = \Gamma'$.

The number of regular \mathcal{D} -classes with a given group \mathcal{H} -class

Theorem

- (i) *Let Γ be a countable graph. Then there exist 2^{\aleph_0} distinct regular \mathcal{D} -classes of $\text{End}(R)$ whose group \mathcal{H} -classes are $\cong \text{Aut}(\Gamma)$.*
- (ii) *Every regular \mathcal{D} -class contains 2^{\aleph_0} distinct group \mathcal{H} -classes.*

Corollary

$\text{End}(R)$ has 2^{\aleph_0} regular \mathcal{D} -classes. *(You know, the ones with eggs...)*

The size of a regular eggbox

Theorem

Every regular \mathcal{D} -class of $\text{End}(R)$ contains 2^{\aleph_0} many \mathcal{R} - and \mathcal{L} -classes.

Proof. Let e be an idempotent endomorphism of R , and let $\Gamma = \text{im}(e)$ (a.c.).

\mathcal{R} -classes: Assume R is constructed as R_Γ . (Start with Γ ; at each stage, for any finite subset S of vertices of the existing graph, add a new vertex adjacent to S and nothing else; iterate this \aleph_0 times.)

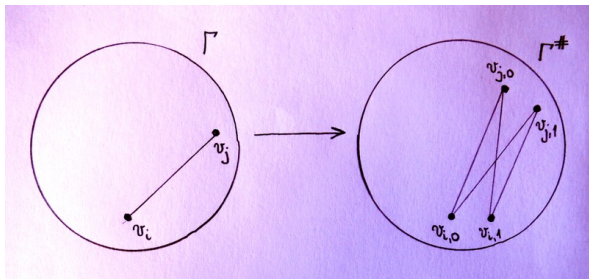
We already know that the identity mapping on Γ can be extended to $f \in \text{End}(R)$ in 2^{\aleph_0} ways such that $\text{im}(f) = \text{im}(e)$.

All such f are idempotents, and $f \mathcal{D} e$, moreover, $f \mathcal{L} e$.

However, all these idempotents are not \mathcal{R} -related.

The size of a regular eggbox

L-classes: Key idea – construct the graph $\Gamma^\#$ from Γ by replacing each edge by the following gadget:



Construct R around $\Gamma^\#$, so that $R = R_{\Gamma^\#}$.

Γ a.c. $\implies \Gamma^\#$ a.c. Hence, the identity map on $\Gamma^\#$ can be extended to an endomorphism $g : R \rightarrow \Gamma^\#$.

The size of a regular eggbox

For each **binary sequence** $\mathbf{b} = (b_i)_{i \in \mathbb{N}}$ define a map $\psi_{\mathbf{b}}$ on $\Gamma^{\#}$ by

$$v_{i,r}\psi_{\mathbf{b}} = v_{i,b_i}$$

for all $i \in \mathbb{N}$ and $r \in \{0, 1\}$. Easy: $\psi_{\mathbf{b}} \in \text{End}(\Gamma^{\#})$ and $\text{im}(\psi_{\mathbf{b}}) \cong \Gamma$ is induced by $\{v_{i,b_i} : i \in \mathbb{N}\}$.

$g\psi_{\mathbf{b}} \in \text{End}(R)$ are idempotents, $\text{im}(g\psi_{\mathbf{b}}) \cong \Gamma \Rightarrow$ all these idempotents are \mathcal{D} -related to e .

Different images \Rightarrow they are not \mathcal{L} -related.

Non-regular eggboxes

Theorem

Let $\Gamma \not\cong R$ be a countable a.c. graph. Then there exists a non-regular endomorphism of R such that $\text{im}(f) \cong \Gamma$ and D_f contains 2^{\aleph_0} many \mathcal{R} - and \mathcal{L} -classes.

The **proof** is a variation of the idea of Γ^\sharp and binary sequences.

Theorem

There are 2^{\aleph_0} non-regular \mathcal{D} -classes in $\text{End}(R)$.

Open Problem

Are there any non-regular eggboxes of some other size?

Schützenberger groups in $\text{End}(R)$

Let $\Gamma = (V_0, E_0)$ be a countable a.c. graph. Then, as we already know, there is a subset $F \subseteq E_0$ such that $(V_0, F) \cong R$. Now build $R_\Gamma \cong R$ around Γ , and let $f : R_\Gamma \rightarrow (V_0, F)$ be an isomorphism. Then f is an injective endomorphism of R ; if $F \neq E_0$ then f is non-regular.

Proposition

Let f be an injective endomorphism of $R = (V, E)$ as described above, with $Vf = V_0$. Then

$$S_{H_f} \cong \text{Aut}(\langle V_0 \rangle) \cap \text{Aut}(\text{im}(f))$$

Schützenberger groups in $\text{End}(R)$

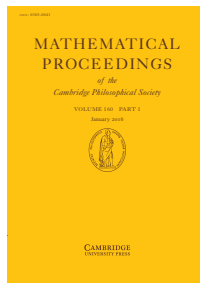
So, to show a universality result for Schützenberger groups in $\text{End}(R)$, one needs to extend the **Frucht-de Groot-Sabidussi Theorem** to countable a.c. graphs with 2-coloured edges (blue and red, say) where the ‘red graph’ is $\cong R$.

This is what we did via an involved construction that again uses the rigid graphs L_S (for a particular countable family of sets S).

Theorem

Let Γ be any countable graph. There are 2^{\aleph_0} non-regular \mathcal{D} -classes of $\text{End}(R)$ such that the Schützenberger groups of the \mathcal{H} -classes within them are $\cong \text{Aut}(\Gamma)$.

Reference



ID, R.D.Gray, J.D.McPhee, J.D.Mitchell, M.Quick:
Automorphism groups of countable algebraically closed graphs and endomorphisms of the random graph,
Math. Proc. Cambridge Phil. Soc. **160** (2016), 437–462.

Preprint: [arXiv:1408.4107](https://arxiv.org/abs/1408.4107)



THANK YOU!

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