# A Nonfinitely Based Finite Semiring

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## The finite basis problem

A – a finite algebra
Eq(A) – the set of all identities true in A

Is Eq(**A**) finitely axiomatizable (finitely based)?

McKenzie (1996): in general, undecidable

## Finitely based finite algebras

- groups: Oates & Powell (1966)
- commutative semigroups: Perkins (1968)
- lattices (& other lattice-based algebras): McKenzie (1970)
- rings: Львов, Kruse (1973)

## Some NFB finite algebras

- Мурский (1965): a 3-element groupoid
  - this is a special case of NFB graph algebras Baker, McNulty, Werner (1987)
- Perkins (1968): a 6-element semigroup = the Brandt monoid  $B_2^1$  of order 2

 $\left(\begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array}\right), \left(\begin{array}{cc} 1 & 0 \\ 0 & 0 \end{array}\right), \left(\begin{array}{cc} 0 & 1 \\ 0 & 0 \end{array}\right), \left(\begin{array}{cc} 0 & 1 \\ 0 & 0 \end{array}\right), \left(\begin{array}{cc} 0 & 0 \\ 1 & 0 \end{array}\right), \left(\begin{array}{cc} 0 & 0 \\ 0 & 1 \end{array}\right), \left(\begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array}\right)$ 

the Perkins' semigroup is INFB = each l.f. variety containing it is NFB (Sapir, 1987)

## Semirings

- **<u>Semiring</u>** = an algebra ( $\Sigma$ ,+,',0) such that
- $(\Sigma, +, 0)$  is a commutative monoid,
- $(\Sigma, \cdot)$  is a semigroup,
- the multiplication distributes over addition.

If + is an idempotent operation (*x*+*x*=*x*), then we have <u>ai-semirings</u>.

# Σ7

- a subsemiring of Rel(2), the semiring of binary relations on a two element set, formed by:
  - the four relations with 3 pairs,
  - the empty, the diagonal, and the full relation
- alternatively, the ai-semiring formed by 7 Boolean matrices

$$\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix},$$
$$\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}.$$

(remember that we have 1+1=1 in the 2-element Boolean semiring)

# $\Sigma_7$ (continued)





equations of  $B_2^{1}$  = semigroup equations of  $\Sigma_7$ 

Is there such a thing as a NFB finite semiring?

#### **Theorem A.** $\Sigma_7$ is NFB.

According to *MathSciNet*, this is a first example of such kind.

What follows is a (hopefully) **VERY** short outline of the proof idea.

## **IMAGIGAM** words

a word of the form

*yLyL*<sup>R</sup>

where L is a linear word not containing y, and  $L^R$  is the reverse of L

 for all *n*, B<sub>2</sub><sup>1</sup> (and so Σ<sub>7</sub>) satisfies the imagigam equations

 $\mathbf{y}\mathbf{x}_1\mathbf{x}_2\ldots\mathbf{x}_n\mathbf{y}\mathbf{x}_n\ldots\mathbf{x}_2\mathbf{x}_1=\mathbf{y}\mathbf{x}_n\ldots\mathbf{x}_2\mathbf{x}_1\mathbf{y}\mathbf{x}_1\mathbf{x}_2\ldots\mathbf{x}_n$ 

#### Isoterms #1

A word *u* is an <u>isoterm</u> for an ai-semiring identity

$$\sum_{i} u_{i} = \sum_{j} v_{j}$$

if for each semigroup substitution  $\varphi$  such that  $\varphi(u_i)$  is (for some *i*) a subword of *u* we have that

- either not all  $\varphi$ -values of  $u'_i$ s are equal, or
- all  $\varphi$ -values of both  $u'_i$ s and  $v'_i$ s are equal

#### Isoterms #2

- for a fixed ai-semiring ∑ and words U, V we write U≤V if ∑ satisfies U+V=V
- a word *w* is <u>minimal</u> if *u*≤*w* implies that *u* is either 0, or *w*
- a minimal word = an isoterm for <u>all</u> identities of Z(an <u>isoterm</u> of Z)

#### Isoterms #3

Let *n* be a natural number and *Z* an ai-semiring.

A word *u* in at least *n* letters is an <u>*n*-isoterm</u> of *∑* if it is an isoterm for all equations of *∑* in <u>less</u> than *n* letters.

# Why isoterms?

An easy proposition. Let  $\Sigma$  be an ai-semiring. Suppose that for arbitrary large *n* we manage to find a word  $w_n$  which <u>is</u> an *n*-isoterm, but <u>not</u> an isoterm of  $\Sigma$ . Then  $\Sigma$  is NFB.

# Why isoterms?

If one translates all notions to <u>semigroups</u> this is <u>exactly</u> the tool used by Perkins!

Namely, the **imagigam words** turn out to be suitable: Perkins proves that

 $\mathbf{y}\mathbf{X}_1\mathbf{X}_2\ldots\mathbf{X}_n\mathbf{y}\mathbf{X}_n\ldots\mathbf{X}_2\mathbf{X}_1$ 

is always a (semigroup) *n*-isoterm, while the imagigam equations show that it is <u>not</u> an isoterm of the Perkins' monoid.

## René, I've got a plan...

Can we do the same for  $\Sigma_{7}$ ?

I.e., is the *n*th imagigam word an *n*isoterm (in the ai-semiring sense) of  $\Sigma_7$ ? (It is obviously not an isoterm of  $\Sigma_7$ .)

How to find *n*-isoterms at all?

# A good lemma always saves the day!

**Lemma.** Let w be a word, with precisely nletters occurring in it, let  $\Sigma$  be an ai-semiring, and let k < n be such that

- each word *u* in less than *n* letters, such that *w* contains a value of *u* (under some substitution), is minimal with respect to Σ,
- (2) w satisfies a certain combinatorial (and technical – but not too much) condition called the <u>k-joint substitution property</u>.

Then *w* is a (k+1)-isoterm of  $\Sigma$ .

# In $\Sigma_7$ , the imagigam words satisfy both conditions!

- 1) Each word in at most *n* variables that has a value in the *n*th imagigam word is minimal in  $\Sigma_7$ .
- Each imagigam word containing at least 4k+2 letters has the k-joint substitution property.

1) is a classical **combinatorics-on-words** issue; for the proof of 2) the key thing is to use a fact from **elementary geometry** (!)

#### **1) + 2) + Easy Prop. => Theorem A.**

To tell the truth, we do not need the `full strength' of  $Eq(\Sigma_7)$ , only 7 its particular features so that we obtain a slightly more general result...

# **Theorem B.**

**Theorem B.** Let  $\Sigma$  be an ai-semiring. Call  $\Sigma$  special if it satisfies the following conditions:

- (a) the inequalities of Σ are closed under deletion, i.e. for any words u, v such that u ≤ v we have c(u) = c(v), and if u', v' are obtained respectively from u, v by deleting all occurrences of a given variable (provided u, v contain at least two variables), then u' ≤ v',
- (b)  $yx \not\preceq xy$ ,
- (c) x and xyx are minimal with respect to  $\Sigma$ ,
- (d)  $x^2y, xyx, yx^2$  are mutually  $\leq$ -incomparable,
- (e)  $w \not\preceq (xy)^2$  whenever  $w \in \{xyx, yxyxy\}$  or w contains one of  $x^2, y^2$  as a subword,
- (f)  $xyzxy \not\preceq xyzyx$ ,  $yxzyx \not\preceq xyzyx$  and  $xzyxy \not\preceq xzy^2x$ ,
- (g)  $w \not\preceq xyztxtz$  for  $w \in \{xytzxtz, xyztxzt, xytzxzt\}$ .

If  $\Sigma$  is special and satisfies all the imagigam identities, then it is nonfinitely based.

## **Open questions**

- Q1: Are the semirings *Rel(n)* of binary relations on an *n*-element set, *n*>1, finitely based or not?
- Q2: Is Σ<sub>7</sub> INFB?
- Clearly enough, A2:**Yes**=>A1:**They're not**.
- Q3: If A2 is Yes, is the same conclusion true for each finite ai-semiring in which all <u>Zimin words</u> are minimal (a feature easily proved in Σ<sub>7</sub> by induction)?

# Thank you!