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(I)NFB Results for Finite Unary Semigroups

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Glossary

A fundamental property that a (finite) algebra \mathcal{A} may have is that of being

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NFB (NonFinitely Based) = the equational theory of \mathcal{A} is not finitely axiomatizable

An even stronger property (and a method to prove that \mathcal{A} is NFB) is

INFB (Inherently NFB) = $\mathbb{V}(\mathcal{A})$ is locally finite + any l.f. variety that contains \mathcal{A} is NFB

The NFB problem for finite semigroups

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Characterize the NFB finite semigroups.

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Characterize the NFB finite semigroups.

But: Is an algorithmic description possible in the first place? (The Tarski-Sapir Problem)

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Let \mathcal{S} be a finite semigroup.

$$\mathcal{S} \text{ is INFB} \iff \mathcal{S} \not\models Z_n = W$$

for all n and any word $W \neq Z_n$.

INFB finite semigroups: an example

The example: the 6-element Brandt monoid

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\mathcal{B}_2^1 is representable by

$$\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

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Consequence: Every matrix semigroup $M_n(R)$, $n \geq 2$, over a finite (semi)ring R with 1 is (I)NFB.

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Examples: groups, inverse semigroups, regular $*$ -semigroups ($x = xx^*x$),...

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At the first glance, it may seem that the unary operation $*$ cannot spoil the picture, in the sense of the expectation that the vast majority of (I)NFB results for finite semigroups can be easily “translated” into the realm of finite unary/involution semigroups.

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Fortunately – and somewhat surprisingly – this is quite far from the truth.

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Moreover, by using the techniques developed a year later by Margolis and Sapir for finitely generated quasivarieties, it follows that the same holds for all finite **regular $*$ -semigroups** as well.

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Around the same time (1992/93), K. Auinger and M. V. Volkov obtained a unary counterpart of Volkov's well-known NFB criterion. Let's recall what is this all about.

- For a unary semigroup \mathcal{S} , let $\mathbf{He}(\mathcal{S})$ be its *Hermitian subsemigroup*, the one generated by all elements xx^* , $x \in \mathcal{S}$.
- For a unary semigroup variety \mathbb{V} , let $\mathbf{He}(\mathbb{V})$ be the variety generated by all $\mathbf{He}(\mathcal{S})$, $\mathcal{S} \in \mathbb{V}$.

On the other hand... (continued)

- Let \mathcal{K}_3 be the combinatorial unary Rees matrix semigroup with the sandwich matrix

$$P = \begin{pmatrix} e & e & e \\ e & e & 0 \\ e & 0 & e \end{pmatrix},$$

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Theorem. Let \mathbb{V} be a unary semigroup variety containing \mathcal{K}_3 . If there exists a group $\mathcal{G} \in \mathbb{V} \setminus \mathbf{He}(\mathbb{V})$, then \mathbb{V} is NFB.

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- for each finite $n > 1$, the involution semigroup $\mathcal{Rel}(n)$ of all binary relations on an n -element set, endowed with relational converse;
- matrix involution semigroups $(M_2(\mathcal{K}), \cdot, {}^T)$, where \mathcal{K} is a finite field with more than 2 elements;
- unary matrix semigroups $(M_2(\mathcal{K}), \cdot, \dagger)$, where \mathcal{K} is either a finite field such that $|K| \equiv 3 \pmod{4}$ or a subfield of \mathbb{C} closed under complex conjugation, and \dagger is the operation of taking the Moore-Penrose inverse.

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- (1) Do finite INFB involution semigroups exist at all?
- (2) In particular, what about $\mathcal{Rel}(n)$?
- (3) Exactly which of the $(M_n(\mathcal{K}), \cdot, {}^T)$ are NFB? (\mathcal{K} finite and either $n \geq 3$, or $n = 2$ and $|K| = 2$)

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These questions had to wait some 15 years to be answered. The answers turned out to be:

- (1) Yes.
- (2) They are all INFB whenever $n > 1$.
- (3) All of them. They also allow an exact characterization of the INFB property.

Theorem 1

Let \mathcal{S} be a finite involution semigroup failing to satisfy any nontrivial identity of the form

$$Z_n = W,$$

where W is an *involutional* word (a word over a ‘doubled’ alphabet $X \cup X^*$). Then \mathcal{S} is INFB.

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The proof, of course, relies in part on the ordinary semigroup case, but requires extra ingredients. The same ingredients are integral parts of Sapir’s own proof of the BEM-Zimin Theorem developed for his [Combinatorics on Words with Applications](#) course.

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However, is there such a finite involutorial semigroup? As we saw, \mathcal{B}_2^1 won’t do, since it satisfies $x = xx^*x$.

Theorem 1: an example

It is often forgotten that the semigroup \mathcal{B}_2^1 admits one more involution aside from the ‘inverse’ one: define the nilpotents a, b (and, of course, $0, 1$) to be fixed by $*$, which results in $(ab)^* = ba$ and $(ba)^* = ab$. In this way we obtain the **twisted Brandt monoid** \mathcal{TB}_2^1 .

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Similarly to \mathcal{B}_2^1 , this little guy is quite powerful.

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\mathcal{TB}_2^1 embeds into $(M_n(\mathcal{K}), \cdot, T)$ for all $n \geq 3$ and all finite \mathcal{K} , as a consequence of the **Chevalley-Warning Theorem** (!!!) from algebraic number theory (argument courtesy & ingenuity of K. Auinger).

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Other applications as well...

Theorem 2

Let \mathcal{S} be a finite involution semigroup satisfying an identity of the form

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for some $n \geq 1$ and an involutorial word W . Then \mathcal{S} is not INFB.

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Let \mathcal{S} be a finite involution semigroup satisfying an identity of the form

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for some $n \geq 1$ and an involutorial word W . Then \mathcal{S} is not INFB.

The proof uses the ideas from the Margolis-Sapir approach to finitely generated quasivarieties of semigroups, and the result seems to be the final ‘stretching’ of that method to involution semigroups.

Theorem 2: applications

By an old result of S. Crvenković (1982), if a finite involution semigroup admits a Moore-Penrose inverse, then the inverse is **term-definable**. Consequently, any such involution semigroup will satisfy an identity of the form $x = xw(x, x^*)x \implies$ it is not INFB.

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So, one cannot hope for INFB results whenever the MP-inverse is around...

Theorem 3

Let \mathcal{S} be a finite involution semigroup satisfying a non-trivial identity of the form $Z_n = W$ such that the variety $\mathbb{V}(\mathcal{S})$ omits the inverse semigroup \mathcal{B}_2^1 . Then \mathcal{S} is not INFB.

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Key argument: Under the given conditions, W is either an ordinary word (when everything goes smoothly), or for an arbitrary $*$ -fixed idempotent e , $\mathbb{V}(e\mathcal{S}e)$ consists entirely of involution semilattices of Archimedean semigroups (by a result of I.D. from 2005).

So, what remains...?

\mathcal{S} – a finite involution semigroup such that:

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Test-example: Is $xyxzxyx = xyxx^*xzxyx$ implying the non-INFB property?

THANK YOU!

Questions and comments to:
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Preprints may be found at:
<http://sites.dmi.rs/personal/dolinkai>