

# Bottleneck Matchings of Points in Convex Position

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## Abstract

Given an even number of points in a plane, we are interested in matching all the points by straight line segments so that the segments do not cross. Bottleneck matching is a matching that minimizes the length of the longest segment. For points in convex position, we present a quadratic-time algorithm for finding a bottleneck non-crossing matching, improving upon the best previously known algorithm of cubic time complexity.

## 1 Introduction

Let  $P$  be the set of  $n$  points in the plane, where  $n$  is an even number. Let  $M$  be the perfect matching of points in  $P$ , using  $n/2$  straight line segments to match the points, that is, each point in  $P$  is an endpoint of exactly one line segment. We forbid line segments to cross. Denote the length of a longest line segment in  $M$  with  $bn(M)$ , which we also call the *value* of  $M$ . We aim to find a matching that minimizes  $bn(M)$ . Any such matching is called *bottleneck matching* of  $P$ .

### 1.1 Related work

There is plentiful research on various geometric problems involving pairings without crossings, see [4, 3, 5, 7, 6, 13]. The more basic of those problems involve matching pairs of points by straight line segments. It is a simple observation that there is always such a matching with non-crossing segments since it is straightforward to prove that a matching minimizing the total sum of lengths of its segments has to be non-crossing.

In [10], Chang, Tang and Lee gave an  $O(n^2)$ -time algorithm for computing a bottleneck matching of a point set, but in a context where crossings are allowed. This result was extended by Efrat and Katz in [12] to higher-dimensional Euclidean spaces.

Abu-Affash, Carmi, Katz and Trablesi showed in [2] that problem of computing non-crossing bottleneck matching of a point set is NP-complete and does not

allow a PTAS. They gave a  $2\sqrt{10}$  factor approximation algorithm, and also showed that the case where all points are in convex position can be solved in  $O(n^3)$  time. In [1], Abu-Affash, Biniarz, Carmi, Maheshwari and Smid presented an algorithm for computing a non-crossing bottleneck plane matching of size at least  $n/5$  in  $O(n \log^2 n)$  time. They then extended it to provide an  $O(n \log n)$ -time approximation algorithm which computes a plane matching of size at least  $2n/5$  whose edges have length at most  $\sqrt{2} + \sqrt{3}$  times the length of a longest edge in a non-crossing bottleneck matching.

Bichromatic (sometimes also called bipartite) versions of the bottleneck matching problem, where only points of different colors are allowed to be matched, have also been studied. Efrat, Itai and Katz showed in [11] that a bottleneck matching between two point sets, with possible crossings, can be found in  $O(n^{3/2} \log n)$  time. Bichromatic non-crossing bottleneck problem was proved to be NP-complete by Carlson, Armbruster, Bellam and Saladi in [9].

Biniarz, Maheshwari and Smid in [8] study special cases of non-crossing bichromatic bottleneck matching. They show that the case where all points are in convex position can be solved in  $O(n^3)$  time with an algorithm similar to the one for monochromatic case presented in [2]. They also consider the case where the points of one color lie on a line and all points of the other color are on the same side of that line, providing an  $O(n^4)$  algorithm to solve it. The same results for these special cases are independently obtained in [9]. In [8] an even more restricted problem, a case where all points lie on a circle, is solved by constructing an  $O(n \log n)$ -time algorithm.

Here we only deal with matchings without crossings, so from now on, the word matching is used to refer only to pairings that are crossing-free.

### 1.2 Convex case

In what follows we consider the case where all points of  $P$  are in convex position, i.e. they are the vertices of a convex polygon  $\mathcal{P}$ .

Let us label the points  $v_0, v_1, \dots, v_{n-1}$  in positive (counterclockwise) direction. To simplify the notation, we will often use only the indices when referring to the vertices. We write  $\{i, \dots, j\}$  to represent the sequence  $i, i+1, i+2, \dots, j-1, j$ . All operations are calculated modulo  $n$ ; note that  $i$  is not necessarily

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†Partly supported by Provincial Secretariat for Science, Province of Vojvodina.

less than  $j$ , and that  $\{i, \dots, j\}$  is not the same as  $\{j, \dots, i\}$ . We say that  $(i, j)$  is a *feasible* pair if there exists a matching containing  $(i, j)$ , which in this case simply means that  $\{i, \dots, j\}$  is of even size.

The problem of finding a bottleneck matching of points in convex position can be solved in polynomial time by a fairly straightforward dynamic programming algorithm, as presented in [2]. Similar algorithm for bichromatic case is presented in [8] and [9].

We present a faster algorithm, with only  $O(n^2)$  time complexity.

## 2 Structure of bottleneck matching

Our aim is to show the existence of a bottleneck matching with a certain structure that we can utilize to construct an efficient algorithm. We do so by proving a sequence of lemmas, with each lemma imposing an increasingly stronger condition on the structure.

Let us split all point pairs into the two categories. Pairs consisting of two neighboring vertices of  $\mathcal{P}$  are called *edges*, and all other pairs are called *diagonals*. Each matching is, thus, comprised of edges and diagonals.

The *turning angle* of  $\{i, \dots, j\}$ , denoted by  $\tau(i, j)$ , is the angle by which the vector  $\overrightarrow{v_i v_{i+1}}$  should be rotated in positive direction to align with vector  $\overrightarrow{v_{j-1} v_j}$ , see Figure 1.

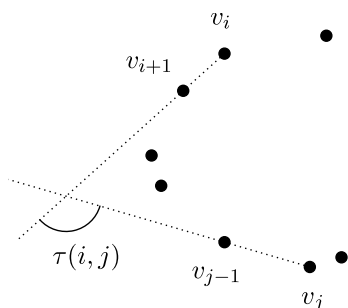


Figure 1: Turning angle.

We start by showing that there are bottleneck matchings satisfying the following constraint on turning angles.

**Lemma 1** *There is a bottleneck matching  $M$  of  $P$  such that all diagonals  $(i, j) \in M$  have  $\tau(i, j) > \pi/2$ .*

Let us consider the division of the polygon  $\mathcal{P}$  into regions obtained by cutting it with diagonals (but not edges) of the given matching  $M$ . Each region in this division is bounded by some diagonals of  $M$  and by some edges from the polygon's boundary. If there are exactly  $k$  diagonals bounding a region, we say the region is *k-bounded*. Any maximal sequence of diagonals connected by 2-bounded regions is called a *cascade*, see Figure 2. We prove the following lemma.

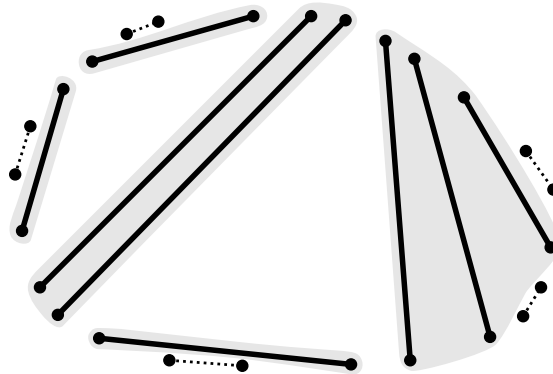


Figure 2: Diagonals inside each shaded area make a single cascade. There are three cascades with only one diagonal, one cascade with two diagonals, and one cascade with three diagonals.

**Lemma 2** *There is a bottleneck matching having at most three cascades.*

From Lemma 2 we know that there is a bottleneck matching either without 3-bounded regions and at most one cascade, or with a single 3-bounded region and exactly three cascades. Obviously, it is not possible for a matching to have exactly two cascades. Next, we define a set of simpler problems that will be used to find an optimal solution in both of these cases.

## 3 Subproblems

Let  $\text{MATCHING}(i, j)$  be the problem of finding an optimal matching  $M_{i,j}$  of points  $\{i, \dots, j\}$  only, so that  $M_{i,j}$  has at most one cascade, and pair  $(i, j)$  belongs to a region bounded by at most one diagonal from  $M_{i,j}$  different from  $(i, j)$ .

If  $j - i = 1$ , then the solution to  $\text{MATCHING}(i, j)$  is exactly the edge  $(i, j)$ . If  $j - i > 2$ , we consider the following cases. If there is a solution to  $\text{MATCHING}(i, j)$  that contains the pair  $(i, j)$ , then  $M_{i,j}$  can be constructed by taking  $(i, j)$  and  $M_{i+1, j-1}$  together. If not, then at least one of the edges  $(i, i+1)$  and  $(j-1, j)$  must be a part of  $M_{i,j}$  (as otherwise points  $i$  and  $j$  would be endpoints of two different diagonals from  $M_{i,j}$ , neither of which is  $(i, j)$ ), which is not allowed by the requirement that the region containing  $(i, j)$  has at most one other bounding diagonal. If  $(i, i+1) \in M_{i,j}$ , then  $M_{i,j}$  can be constructed from  $M_{i+2, j}$  and the edge  $(i, i+1)$ . Similarly, if  $(j-1, j) \in M_{i,j}$ , then we can get  $M_{i,j}$  as  $M_{i, j-2}$  plus the edge  $(j-1, j)$ .

Since these problems have optimal substructure, we can apply dynamic programming to solve them. If  $bn(M_{i,j})$  is saved into  $S[i, j]$ , the following recurrent formula can be used to calculate the solution to

$\text{MATCHING}(i, j)$  for all feasible pairs  $(i, j)$ ,

$$S[i, j] = \min \begin{cases} \max\{S[i+1, j-1], |v_i v_j|\} & (1a) \\ \max\{S[i+2, j], |v_i v_{i+1}|\} & (1b) \\ \max\{S[i, j-2], |v_{j-1} v_j|\}. & (1c) \end{cases}$$

Initially, we set  $S[i, i] = 0$ , for all  $i$ , and then we fill values in  $S$  in order of increasing  $j - i$ , so that all subproblems are already solved when needed.

Beside the value of a solution to  $\text{MATCHING}(i, j)$ , it is going to be useful to determine if pair  $(i, j)$  is necessary for constructing  $M_{i,j}$ , i.e. we want to know do all solutions to  $\text{MATCHING}(i, j)$  contain  $(i, j)$ . If that is true then we call such a pair *necessary*. This can be easily incorporated into the calculation of  $S[i, j]$ . Namely, if case (1a) is the only one achieving minimum among cases (1a), (1b) and (1c), we set  $\text{necessary}(i, j)$  to  $\top$ , otherwise we set it to  $\perp$ .

We have  $O(n^2)$  subproblems, each of which takes  $O(1)$  time to be calculated. Hence, all calculations together require  $O(n^2)$  time and the same amount of space. Note that we calculated only the values of solutions to all subproblems, but an actual matching can be easily reconstructed in linear time from the data in  $S$ .

#### 4 Finding bottleneck matching

As we concluded earlier, there is a bottleneck matching of  $P$  having either at most one cascade, or exactly three cascades. An optimal matching with at most one cascade can be found easily from calculated solutions to subproblems. We just find the minimum of all  $S[i+1, i]$ , and take any  $M_{i+1, i}$  that achieves it. This step takes only linear time.

Next, we focus on finding an optimal matching among all matchings with exactly three cascades (denoted by *3-cascade matchings* in the following text).

Any three distinct points  $i, j$  and  $k$ , where  $(i, j)$ ,  $(j+1, k)$  and  $(k+1, i-1)$  are feasible pairs, can be used to construct a 3-cascade matching by simply taking a union of  $M_{i,j}$ ,  $M_{j+1,k}$  and  $M_{k+1,i-1}$ . To find the best one we could run through all possible triplets  $(i, j, k)$  and see which one minimizes  $\max\{S[i, j], S[j+1, k], S[k+1, i-1]\}$ . However, that requires  $O(n^3)$  time, and thus is not suitable, since our goal is to design a faster algorithm. Our approach is to show that instead of looking at all  $(i, j)$  pairs, it is enough to select  $(i, j)$  from a set of linear size, which would reduce the search space to quadratic number of possibilities, so the search would take only  $O(n^2)$  time.

Next, we prove a couple of simple statements about 3-cascade matchings.

In 3-cascade matching, let us call the three diagonals bounding the single 3-bounded region the *inner* diagonals.

**Lemma 3** *If there is no bottleneck matching with at most one cascade, then there is a bottleneck 3-cascade matching whose every inner diagonal is necessary.*

We say that  $(i, j)$  is a *candidate* diagonal, if it is a necessary diagonal and  $\tau(i, j) \leq 2\pi/3$ .

**Lemma 4** *If there is no bottleneck matching with at most one cascade, then there is a 3-cascade bottleneck matching  $M$ , such that at least one inner diagonal of  $M$  is candidate diagonal.*

Let us now look at a candidate diagonal  $(i, j)$ , and examine the position of points  $\{i+1, \dots, j-1\}$  relative to it. We construct the circular arc  $h$  on the right side of the directed line  $v_i v_j$ , from which the line segment  $v_i v_j$  subtends an angle of  $\pi/3$ , see Figure 3. We denote the midpoint of  $h$  with  $A$ . Points  $v_i, A$  and  $v_j$  form an equilateral triangle, hence we are able to construct the arc  $a^-$  between  $A$  and  $v_i$  with the center in  $v_j$ , and the arc  $a^+$  between  $A$  and  $v_j$  with the center in  $v_i$ . These arcs define three areas:  $\Pi^-$ , bounded by  $h$  and  $a^-$ ,  $\Pi^+$ , bounded by  $h$  and  $a^+$ , and  $\Pi^0$ , bounded by  $a^-, a^+$  and the line segment  $v_i v_j$ , all depicted in Figure 3.

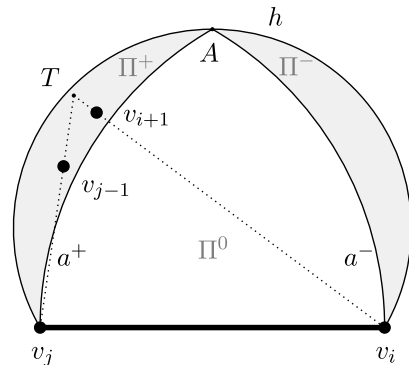


Figure 3: Points  $v_{i+1}, \dots, v_{j-1}$  all lie inside either  $\Pi^-$  or  $\Pi^+$ .

Using these definitions we state the following.

**Lemma 5** *If  $(i, j)$  is a candidate diagonal, then points  $v_{i+1}, \dots, v_{j-1}$  either all belong to  $\Pi^-$  or all belong to  $\Pi^+$ .*

With  $\Pi^-(i, j)$  and  $\Pi^+(i, j)$  we respectively denote areas  $\Pi^-$  and  $\Pi^+$  corresponding to the candidate diagonal  $(i, j)$ .

Two possibilities for a candidate diagonal  $(i, j)$  provided by Lemma 5 bring forth a concept of *polarity*. If points  $\{i+1, \dots, j-1\}$  lie in  $\Pi^-(i, j)$  we say that candidate diagonal  $(i, j)$  has *negative polarity* and has  $i$  as its *pole*. Otherwise, if these points lie in  $\Pi^+(i, j)$ , we say that  $(i, j)$  has *positive polarity* and pole in  $j$ .

We arrive at the crucial observation which will enable us to limit the search space of the algorithm.

**Lemma 6** *No two candidate diagonals of the same polarity can have the same point as a pole.*

A simple corollary of Lemma 6 is that there is at most linear number of candidate diagonals.

**Lemma 7** *There are  $O(n)$  candidate diagonals.*

Finally, we combine our findings from Lemma 4 and Lemma 7, as described at the beginning of Section 4, to construct Algorithm 1.

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**Algorithm 1** Bottleneck Matching

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Calculate  $S[i, j]$  and  $necessary(i, j)$  for all feasible
 $(i, j)$  pairs, as described in Section 3.
 $best \leftarrow \min\{S[i + 1, i] : i \in \{0, \dots, n - 1\}\}$ 
for all feasible  $(i, j)$  do
  if  $necessary(i, j)$  and  $\tau(i, j) \leq 2\pi/3$  then
    for  $k \in \{j + 1, \dots, i - 1\}$  such that  $(j + 1, k)$ 
    is feasible do
       $best \leftarrow \min\{best, \max\{S[i, j], S[j + 1, k],$ 
       $S[k + 1, i - 1]\}\}$ 
    end for
  end if
end for

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**Theorem 8** *Algorithm 1 finds the value of bottleneck matching in  $O(n^2)$  time.*

**Proof.** The first step, calculating  $S[i, j]$  and  $necessary(i, j)$  for all  $(i, j)$  pairs, is done in  $O(n^2)$  time, as described in Section 3. The second step finds the minimal value of all matchings with at most one cascade in  $O(n)$  time.

The rest of the algorithm finds the minimal value of all 3-cascade matchings. Lemma 4 tells us that there is a bottleneck matching among 3-cascade matchings with one inner diagonal being a candidate diagonal, so the algorithm searches through all such matchings. We first fix the candidate diagonal  $(i, j)$  and then enter the inner for-loop, where we search for an optimal 3-cascade matching having  $(i, j)$  as an inner diagonal. Although the outer for-loop is executed  $O(n^2)$  times, Lemma 7 guarantees that the if-block is entered only  $O(n)$  times. The inner for-loop splits  $\{j + 1, \dots, i - 1\}$  in two parts,  $\{j + 1, \dots, k\}$  and  $\{k + 1, \dots, i - 1\}$ , which together with  $\{i, \dots, j\}$  make three parts, each to be matched with at most one cascade. We already know the values of optimal solutions for these three sub-problems, so we combine them and check if we get a better overall value. At the end, the minimum value of examined matchings is contained in  $best$ , and that has to be the value of a bottleneck matching, since we surely examined at least one bottleneck matching.  $\square$

Algorithm 1 gives only the value of a bottleneck matching, however, it is easy to reconstruct an actual

bottleneck matching by reconstructing matchings for subproblems that led to the minimum value. This reconstruction can be done in linear time.

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